# Modeling trends in CD4 cell decline before the start of antiretroviral therapy

Luuk Gras<sup>1</sup>, Ronald Geskus<sup>2</sup>, Ard van Sighem<sup>1</sup>, Frank de Wolf<sup>1,3</sup>, for the ATHENA observational cohort <sup>1</sup> HIV Monitoring Foundation, Amsterdam, the Netherlands; <sup>2</sup> Academic Medical Centre of the University of Amsterdam, the Netherlands; <sup>3</sup> Imperial College, London, UK



## Background

- MSM in the Netherlands infected with HIV-1 in more recent years have been shown to have a higher HIV-1 RNA concentration and a lower CD4 cell count at viral set-point (9-27 months after seroconversion) compared to 10 years ago.
- Higher viral load at set-point is associated with higher transmission probability and faster disease progression. A measure for disease progression is CD4 cell count decline in patients not on therapy.
- In the cART era analysis of CD4 cell count decline is not straightforward because patients with a steeper decline are more likely to start cART and drop out of the study (informative drop-out).

### Objective

• To investigate trends in CD4 count decline following HIV seroconversion using regression models making different assumptions about the drop-out pattern.

# Methods

### Patients

- Patients who seroconverted <1996 were participants of the Amsterdam Cohort Studies, patients with seroconversion ≥1996 were selected from the Dutch national HIV observational ATHENA cohort.
- MSM from W-Europe/N-America, ≥16 years of age and documented evidence of recent seroconversion (maximum seroconversion interval of 1 year). Infections with non-B subtype excluded
- Availability of ≥ 1 CD4 cell count between 9-48 months after seroconversion whilst being antiretroviral therapy-naive.

#### Outcome

- CD4 cell counts between 9-48 months after seroconversion were used to model the slope of CD4 cell decline before start of ART, on a cubic root scale.
- CD4 cell counts were censored and patients were considered to be a drop-out from the earliest of: date of starting ART, first date CD4 cell count <100 cells/mm<sup>3</sup>, date 1 year prior to diagnosis of AIDS and date of death.

#### Statistical analysis

- Notation: i:i<sup>th</sup> subject, j=j<sup>th</sup> measurement; X<sub>i</sub>: age at seroconversion subject i, T<sub>ij</sub>: timing of measurement j, subject i; R<sub>i</sub>: categorical drop-out variable (drop-out <2.5years , between 2.5-4, and ≥4 years after seroconversion, lost to follow-up <4 years and not enough follow-up (for patients seroconverting between 2003-2007)).
- Estimates for E(CD4<sub>ii</sub> | X<sub>i</sub>, T<sub>ii</sub>) were obtained using:
  - Linear regression models assuming drop-out to be missing completely at random (MCAR). Standard errors were obtained using the sandwich estimator.
  - Mixed effect models with random intercept and slope for each patient. Assuming dropout to be missing at random (MAR).
  - Pattern-mixture models. The drop-out pattern is included in the model using the factorization  $E(CD4_{ij}, R_j | X_i, T_{ij}) = E(CD4_{ij} | X_i, R_i, T_{ij})$  $E(R_i | X_i, T_{ii}).$

## Results

	Calendar year of seroconversion			
	1984-1995	1996-2002	2003-2007	
Age at sc (yrs)	35.2 (29.7-42.1)	34.6 (30.2-41.1)	37.9 (31.5-43.8)	
First CD4 cell count, 9-27 months after sc	580 (450-850)	550 (450-720)	510 (390-650)	
Months between sc and first CD4measurement	10.3 (9.9-10.7)	10.7 (9.7-12.3)	10.5 (9.6-11.9)	

 Table 1. Characteristics (median, interquartile range) of 610 included MSM

 with recently acquired HIV-1 infection. The first CD4 cell count obtained 9-27

 after seroconversion has become lower over calendar time.

	Year of seroconversion			
Drop-out pattern	≤1995	1996-2002	≥2003	
	N=111	N=139	N=360	
0.75-2.5 year	15 (14%)	49 (35%)	130 (36%)	
2.5-4 year	21 (19%)	26 (19%)	72 (20%)	
≥4 year	67 (60%)	57 (41%)	66 (18%)	
Lost to follow-up 0.75-4 year	8 (7%)	7 (5%)	36 (10%)	
Not enough follow-up	0 (0%)	0 (0%)	56 (16%)	
# ART naïve CD4 cell counts taken between 9-48 months, median (IQR)	17 (12-18)	6 (4-10)	6 (4-9)	
Years from sc to dropout, median (IQR)	5.4 (3.3-8.0)	3.4 (1.8-7.0)	3.2 (1.8-4.7)	
Reason of drop-out <4year				
Start ART	14 (39%)	68 (91%)	189 (94%)	
AIDS diagnosis	15 (42%)	5 (7%)	7 (3%)	
<100 CD4 cells/mm <sup>3</sup>	7 (19%)	2 (2%)	5 (2%)	
Death			1(1%)	

Table 2. Drop-out pattern according to year of seroconversion. Because of limited cART availability, few patients seroconverting ≤1995 dropped out within 2.5 years.

Year of seroconversion	CD4 cell count at viral set-point	Difference in CD4 cells with 03-07	p-value	Slope/yr	Difference in slope/yr with 03-07	p-value		
Linear regression model								
1984-1995	8.33 (0.11)	0.51 (0.12)	<0.0001	-0.22 (0.05)	-0.13 (0.06)	0.04		
1996-2002	8.26 (0.10)	0.44 (0.12)	0.0002	-0.21 (0.05)	-0.12 (0.07)	0.07		
2003-2007	7.82 (0.07)	ref		-0.09 (0.04)	ref			
Mixed effect model								
1984-1995	8.51 (0.11)	0.42 (0.12)	0.0007	-0.39 (0.04)	0.07 (0.05)	0.21		
1996-2002	8.34 (0.09)	0.26 (0.11)	0.01	-0.39 (0.04)	0.07 (0.05)	0.19		
2003-2007	8.09 (0.06)	ref		-0.46 (0.03)	ref			
Mixed effect model, restricted to patients with $\geq$ 5 CD4 cell measurements, done in previously published studies								
1984-1995	8.55 (0.11)	0.23 (0.13)	0.07	-0.39 (0.04)	0.07 (0.05)	0.20		
1996-2002	8.60 (0.10)	0.28 (0.12)	0.01	-0.39 (0.04)	0.07 (0.05)	0.16		
2003-2007	8.31 (0.06)	ref		-0.45 (0.03)	ref			
Pattern-mixture model								
1984-1995	8.52 (0.11)	0.38 (0.12)	0.001	-0.46 (0.05)	0.18 (0.06)	0.003		
1996-2002	8.38 (0.08)	0.24 (0.10)	0.01	-0.61 (0.07)	0.04 (0.08)	0.61		
2003-2007	8.14 (0.05)	ref		-0.65 (0.04)	ref			

**Table 3.** Mean (SE) CD4 cell count (cubic root cells/mm<sup>3</sup>) at viral set-point (defined to be 9 months after seroconversion) and mean (SE) slope of CD4 cell count between 9-48 months per period of seroconversion. Only the pattern-mixture estimation of differences in the slope of CD4 cell decline between periods of seroconversion reached significance.

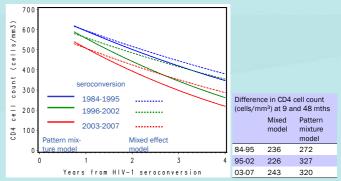


Figure 1. CD4 cell counts back transformed to original scale.

### Conclusion

- In comparison to pattern mixture models, mixed effect models underestimate the slope of CD4 cell decline prior to starting cART.
- Restricting mixed effect models to patients with ≥5 CD4 cell counts results in biased intercepts estimates but not slope estimates.
- Results from the pattern mixture model suggest CD4 cell count declines more rapidly in patients infected in more recent calendar years compared to patients infected in the pre-cART era.
- Simulation studies to determine which model gives the least unbiased estimates are necessary.